| FORM PTO-1449                        | SERIAL NO.  |                 | CASE NO.       |
|--------------------------------------|-------------|-----------------|----------------|
|                                      |             | To Be Assigned  | 9683/186       |
| LIST OF PATENTS AND PUBLICATIONS FOR | FILING DATE |                 | GROUP ART UNIT |
| APPLICANT'S INFORMATION DISCLOSURE   |             | April 14, 2004  | To Be Assigned |
| STATEMENT                            |             |                 |                |
| (use several sheets if necessary)    | APPLICANT(S | ): Daisuke KOND | O et al.       |

REFERENCE DESIGNATION

| U.S. PATENT DOCUMENTS | U.S. | PA. | TEN1 | r DC | CUN | /EN | TS |
|-----------------------|------|-----|------|------|-----|-----|----|
|-----------------------|------|-----|------|------|-----|-----|----|

| EXAMINER<br>INITIAL |   | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|---|---|------|------|--------------------|----------------|
|                     | Α |   |      |      |                    |                |
|                     | Α |   |      |      |                    |                |
|                     | Α |   | . 1  |      | •                  |                |
|                     | Α |   |      |      |                    |                |
|                     | Α |   |      |      |                    |                |
|                     | Α |   |      |      |                    |                |

**FOREIGN PATENT DOCUMENTS** 

| EXAMINER INITIAL |    | DOCUMENT NUMBER Number-Kind Code (If known) | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|------------------|----|---|------------|---------|--------------------|--------------------------|
| 7DL/             | A1 | JP2000-304559A                              | 11/02/2000 | Japan   |                    | Abstract                 |
|                  | Α  |   |            |         |                    |                          |
|                  | Α  |   |            |         |                    |                          |
|                  | Α  |   |            |         |                    | ·-                       |
|                  | Α  |   |            |         |                    |                          |

| EXAMINER<br>INITIAL |   | OTHER ART — NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |
|---------------------|---|--|--|--|--|--|--|
|                     | Α | ·  |  |  |  |  |  |
| ·                   | Α |  |  |  |  |  |  |
|                     | Α |  |  |  |  |  |  |
|                     | Α | •  |  |  |  |  |  |
|                     | Α |  |  |  |  |  |  |
|                     | Α |  |  |  |  |  |  |

| EXAMINER | /Dung Lam/ | DATE CONSIDERED | 06/25/2007        |
|----------|------------|-----------------|-------------------|
|          |            | •               | 3 3 7 2 3 7 2 3 7 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )                       |                            |                |
|---|----------------------------|----------------|
| FORM PTO-1449 JUL 1 8 2005 (2)                                | SERIAL NO.                 | CASE NO.       |
|   | 10/824,858                 | 9683/186       |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL | FILING DATE                | GROUP ART UNIT |
| APPLICATES SUPPLEMENTAL                                       | April 14, 2004             | 2613           |
| INFORMATION DISCLOSURE STATEMENT                              |                            | ,              |
| (use several sheets if necessary)                             | APPLICANT(S): Daisuke KOND | O et al.       |

| REFERENCE DESIGNATION | U.S. PATENT DOCUME |
|-----------------------|--------------------|

| REFERENCE           | DESIG | NATION U.S.                                 | PATENT DOCU | MENTS |                    |                |
|---------------------|-------|---|-------------|-------|--------------------|----------------|
| EXAMINER<br>INITIAL |       | DOCUMENT NUMBER Number-Kind Code (if known) | DATE        | NAME  | CLASS/<br>SUBCLASS | FILING<br>DATE |
|                     | В     |   |             |       |                    |                |
|                     | В     |   |             |       |                    |                |
|                     | В     |   |             |       |                    |                |
|                     | В     |   |             |       |                    |                |
|                     | В     |   |             |       |                    |                |
|                     | В     |   |             |       |                    |                |
|                     | В     | ,   |             |       |                    |                |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER Number-Kind Code (if known) | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES OR NO |
|---------------------|----|---|------------|---------|--------------------|--------------------------|
| /DL/                | B1 | H10-132595 A                                | 05/22/1998 | Japan   |                    | Abstract                 |
| /DL/                | B2 | 2002-199320 A                               | 07/12/2002 | Japan   |                    | Abstract                 |
| /DL/                | B3 | H09-200666 A                                | 07/31/1997 | Japan   |                    | Abstract                 |
| /DL/                | B4 | H10-150625 A                                | 06/02/1998 | Japan   |                    | Abstract                 |
|                     | В  |   |            |         |                    |                          |
|                     | В  |   |            |         |                    |                          |

| EXAMINER INITIAL |   | OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |  |
|------------------|---|--|--|--|--|--|--|--|
|                  | В |  |  |  |  |  |  |  |
|                  | В |  |  |  |  |  |  |  |
|                  | В | ·  |  |  |  |  |  |  |
|                  | В |  |  |  |  |  |  |  |
|                  | В |  |  |  |  |  |  |  |
|                  | В |  |  |  |  |  |  |  |

| EXAMINER | /Dung Lam/ | DATE CONSIDERED | 06/25/2007 |
|----------|------------|-----------------|------------|

|             | OIPE Way   |
|-------------|--|
|             | JAN 2 4 2006 8   |
| FORM PTO-14 | TRADENIA PROPERTY AND THE PROPERTY AND T |
|             | DATENTO AND D  |

| FORM PTO-14 PRABELLE | APPLICATION NO.<br>10/824,858 | ATTORNEY DOCKET NO.<br>9683/186 |
|---|-------------------------------|---------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT   | FILING DATE April 14, 2004    | GROUP ART UNIT 2613             |
| (use several sheets if necessary)   | APPLICANTS: Daisuke KONDO et  | al.                             |

## **U.S. PATENT DOCUMENTS**

|                      | U.U.T. 7.1.01.1.0000 |  |                                   |  |                    |                |
|----------------------|----------------------|--|-----------------------------------|--|--------------------|----------------|
| EXAMINER<br>INITIALS | Cite<br>No.          | DOCUMENT NUMBER<br>Number-Kind Code (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Class/<br>Subclass | Filing<br>Date |
|                      | С                    |  |                                   |  |                    | ···            |
|                      | С                    |  |                                   |  | 1                  |                |
|                      | С                    |  |                                   |  | 1                  |                |
|                      | С                    | •  |                                   |  |                    |                |
|                      | С                    |  |                                   | ·  |                    |                |
|                      | С                    |  |                                   |  |                    |                |
|                      | С                    |  |                                   |  |                    |                |

## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS |             |   |                             |  |                    |          |
|--------------------------|-------------|---|-----------------------------|--|--------------------|----------|
| EXAMINER<br>INITIALS     | Cite<br>No. | DOCUMENT NUMBER Country Code, Number -Number-Kind Code (if known) | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Class/<br>Subclass | T        |
| /DL/                     | C1          | CN 1413026 A  | 04/23/2003                  | Toshiba Corporation                                |                    | Abstract |
|                          | С           |   |                             |  | 1                  |          |
|                          | С           |   |                             |  |                    |          |
|                          | С           |   |                             |  |                    |          |
|                          | С           |   |                             |  |                    | ,        |
|                          | С           |   |                             |  |                    |          |
|                          | С           |   |                             |  |                    |          |

| EXAMINER<br>INITIALS | (Incl<br>sympo | NON PATENT LITERATURE DOCUMENTS  ude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, senal, being, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. | Т |
|----------------------|----------------|---|---|
|                      | С              |   | 0 |
|                      | С              |   |   |
|                      | С              |   |   |
|                      | С              |   |   |

NOTE: For "T" - please place an "X" if an English translation is being provided to the Patent Office.

| EXAMINER /Dung Lam/ | DATE CONSIDERED | 06/25/2007 |
|---------------------|-----------------|------------|
| /Dung Lam/          |                 |            |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.